

Aprio information assurance capabilities

OUR PRAGMATIC APPROACH HARMONIZES AUDIT AND COMPLIANCE PROTOCOLS TO MAKE EFFECTIVE RISK MANAGEMENT AND REPORTING EASIER TO ACHIEVE.

Our mission is to help our clients improve their risk management programs while delivering higher quality reports with less drama and disruption - not just check-the-box reports. Too often CPAs and QSAs lose sight of whether the reports they are producing are supporting and enhancing their clients' risk management needs and whether the reports effectively represent their clients' risk management programs.

The foundation of our business model is our ability to prove the existence of information risk management activities through attestation services such as SOC reporting, PCI QSA and ISO 27001. Clients select us for our:

- Focus on risk and our ability to change internal perspectives from audit and compliance to business risk management
- Technical acumen (security, privacy)
- Deep expertise with audit and compliance protocols and the harmonization of those protocols
- Dedication to being pragmatic business people (we are often told we are easier to do business with than "national" firms)
- Excellent reputation, enduring relationships and trust (execs bring us to their new companies when they move)

OUR MARKET FOCUS

We serve companies that function as technology service providers that are subject to compliance and vendor management oversight. We do not work with companies who treat these matters as simply compliance or check the box exercises. Our clients are serious about risk management and include:

- Multi-billion dollar international companies as well as startups
- FinTech, data services / analytics, cloud providers and healthcare IT markets



Our mission is to help our clients improve their risk management programs while delivering higher quality reports with less drama and disruption.

Core services

| | |
|---|--|
| AICPA SOC Reporting: - SOC 1 - SOC 2 - SOC 3, - SOC for Cyber Security | ISO 27001 certification |
| Cyber Security Gap Assessments | NY DFS Certification |
| EI3PA | Risk Assessment (e.g., cyber assessments, ISO 27005, HIPAA risk analysis) |
| General Data Protection Regulation (GDPR) assessment and compliance reporting | SSAE 18 for other subject matter and suitable criteria and for agreed-upon procedures |
| HIPAA + HITrust Compliance | Vendor Risk Assessments |
| Internal Audit Co-Sourcing | |

OUR CLIENTS

We work with market leading and emerging high-growth providers of fintech, healthcare IT, consumer analytics and cloud services.

EXPERTISE AND CERTIFICATIONS

Dan Schroeder, the partner-in-charge of our Information Assurance Group, has served as chairperson of the AICPA Committee that rolled out the new SOC reporting standard. A skilled trainer, Dan has conducted dozens of training sessions on the new SOC standards over last few years, including developing and conducting the inaugural three-day SOC School. He also served on AICPA task force in 2015 / 2016 that released the new Trust Services Principles and Criteria. Our team's certifications include:

- Information security (CISSPs, QSA, ISO 27001 Lead)
- Privacy (CIPP, CIPT, EU GDPR P)
- Risk - (CRISC) the ability to understand risk in most sophisticated infrastructure and business models and assess efficacy of risk management
- Availability / resiliency / BCM (Certified ISO 22301 Lead Auditor)
- Audit (CPA, CISAs, ISO Lead Auditor)

OUR REACH

Our core team has physically visited virtually every U.S. state as well as many international countries in the past two years, servicing both national and international clients. We partner with a leading technology security firm for engagements requiring code reviews, vulnerability scans and pentesting.

Our membership in Morison KSi, the international CPA network, provides access to professionals in over 100 countries and virtually every city in the United States. Leveraging this extended international team, we have provided services in approximately 25 different countries in Asia, Europe, Central America and South America.

About HA+W | Aprio

Since 1952, clients throughout the U.S. and in more than 40 countries have counted on HA+W | Aprio to build value, manage risk and drive growth. As a nationally-recognized tax, accounting and business consulting firm headquartered in Atlanta, our expertise across a broad range of services and industries provides clients with winning financial practices and insights to help them grow at every stage of their business lifecycle.

Aprio.com

For more information, contact:



Dan Schroeder
 Partner-in-Charge,
 Information Assurance Services
dan.schroeder@aprio.com
[770.353.8379](tel:770.353.8379)